

## PART B - FEE(S) TRANSMITTAL

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CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

29175 7590 02/20/2004

BELL, BOYD & LLOYD, LLC  
 P. O. BOX 1135  
 CHICAGO, IL 60690-1135



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Renee Street	(Depositor's name)
<i>[Signature]</i>	(Signature)
May 14, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/292,834	04/16/1999	KOJI MORIGUCHI	P99.0653	4060

TITLE OF INVENTION: GRAPHITE POWDERS SUITED FOR NEGATIVE ELECTRODE MATERIAL OF LITHIUM ION SECONDARY BATTERY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	05/20/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
HENDRICKSON, STUART L	1754	428-408000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 Bell, Boyd & Lloyd LLC
- 2 Chicago, Illinois
- 3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

SONY CORPORATION

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee
- ☒ Advance Order - # of Copies 1

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- ☒ A check in the amount of the fee(s) is enclosed.
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Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date) 5/14/04

Thomas C. Basso (46,541)

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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05/19/2004 HVUONG2 00000005 09292834

01 FC:1504  
 02 FC:1501  
 03 FC:8001

300.00 OP  
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TRANSMIT THIS FORM WITH FEE(S)

**TRANSMITTAL OF PAYMENT OF ISSUE FEE (Large Entity)**

(37 C.F.R. 1.311)

Docket No.

112857-034

Applicant(s): Moriguchi et al.

Serial No.

09/292,834

Filing Date

April 16, 1999

Examiner

S. Hendrickson

Group Art Unit

1754

Confirmation No.

4060

Invention: **GRAPHITE POWDERS SUITED FOR NEGATIVE ELECTRODE MATERIAL OF LITHIUM ION SECONDARY BATTERY**

**Mail Stop Issue Fee**  
**TO THE COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
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Transmitted herewith are the following for the above-identified application.

☒ Issue Fee Transmittal Form PTOL-85☒ Utility Fee: \$ 1330.00 ☐ Design Fee: \_\_\_\_\_ ☐ Plant Fee: \_\_\_\_\_☒ Publication Fee: \$ 300.00☒ A check in the amount of \$1,633.00 is attached.☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.☐ Charge the amount of☒ Credit any overpayment.☒ Charge any additional fee required.  
Signature

Dated: May 14, 2004

Thomas C. Basso  
Reg. No. 46,541  
BELL, BOYD & LLOYD LLC  
P.O. Box 1135  
Chicago, IL 60690-1135  
Phone: 312-807-4310

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Renee Street

Typed or Printed Name of Person Mailing Correspondence